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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing **OR** ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number TR-005

First Named Inventor LIU, Wen

**COMPLETE IF KNOWN**

Application Number --- /

Filing Date ---

Art Unit ---

Examiner Name ---

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND SYSTEM FOR MONITORING PERFORMANCE OF OPTICAL NETWORK

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International

Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
n/a			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

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**DECLARATION — Utility or Design Patent Application**Direct all correspondence to: ☒Customer Number  
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any]) Wen

Family Name  
or Surname

LIU

Inventor's  
Signature

Wen Liu

Date

Nov. 21 / 2001

Residence: City

Ottawa

Ontario

State

Canada

Country

Canadian

Citizenship

Mailing Address

19 Pickwick Drive

City

Ottawa

Ontario

State

K2J 3H8

ZIP

Canada

Country

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any]) Niranjan

Family Name  
or Surname

VETHANAYAGAM

Inventor's  
Signature

N. Vethanayagam

Date

Nov 21 / 2001

Residence: City

Kanata

Ontario

State

Canada

Country

Canadian

Citizenship

Mailing Address

51 Bannock Crescent

City

Kanata

Ontario

State

K2K 2P9

ZIP

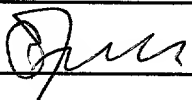
Canadian

Country

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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**DECLARATION****ADDITIONAL INVENTOR(S)****Supplemental Sheet**Page 3 of 3

<b>Name of THIRD INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name</b> Dan		<b>Family Name or Surname</b> OPREA	
<b>Inventor's Signature</b> 		<b>Date</b> November 21/01	
<b>Residence: City</b> Kanata	<b>State</b> Ontario	<b>Country</b> Canada	<b>Citizenship</b> Canadian
<b>Mailing Address</b> 21 Tiffany Crescent			
<b>Mailing Address</b> 21 Tiffany Crescent			
<b>City</b> Kanata	<b>State</b> Ontario	<b>ZIP</b> K2K 1W3	<b>Country</b> Canada
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name</b>		<b>Family Name or Surname</b>	
<b>Inventor's Signature</b>		<b>Date</b>	
<b>Residence: City</b>	<b>State</b>	<b>Country</b>	<b>Citizenship</b>
<b>Mailing Address</b>			
<b>Mailing Address</b>			
<b>City</b>	<b>State</b>	<b>ZIP</b>	<b>Country</b>
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name</b>		<b>Family Name or Surname</b>	
<b>Inventor's Signature</b>		<b>Date</b>	
<b>Residence: City</b>	<b>State</b>	<b>Country</b>	<b>Citizenship</b>
<b>Mailing Address</b>			
<b>Mailing Address</b>			
<b>City</b>	<b>State</b>	<b>ZIP</b>	<b>Country</b>

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PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	---
Filing Date	---
First Named Inventor	LIU, Wen
Title	Method&System for Monitoring Performance of Optical Network
Group Art Unit ---	
Examiner Name ---	
Attorney Docket Number	TR-005

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I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

Wen LIU

Signature

Wen Liu

Date

November 21st, 2001.

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of three forms are submitted.

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### SIGNATURE of Applicant or Assignee of Record

Name

Niranjan VETHANAYAGAM

Signature

*N Vethanayagam*

Date

November 21<sup>st</sup>, 2001.

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Examiner Name	---
Attorney Docket Number	TR-005

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### SIGNATURE of Applicant or Assignee of Record

Name

Dan OPREA

Signature

Date

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